

**United Nations**

**International Decade for Natural Disaster Reduction**

**IDNDR Early Warning Programme**

**Guiding Principles for Effective Early Warning**

by

**The Convenors of the International Expert Groups on Early Warning**

**of the Secretariat of the International Decade for Natural Disaster Reduction**



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IDNDR Secretariat, Geneva

October 1997

# **GUIDING PRINCIPLES FOR EFFECTIVE EARLY WARNING**

**by**  
**The Early Warning Programme of the International Decade for**  
**Natural Disaster Reduction (IDNDR)**  
**August 1997**

THE OBJECTIVE of early warning is to empower individuals and communities, threatened by natural or similar hazards, to act in sufficient time and in an appropriate manner so as to reduce the possibility of personal injury, loss of life and damage to property, or nearby and fragile environments.

RISK ASSESSMENT provides the basis for an effective warning system at any level of responsibility. It identifies potential threats from hazards and establishes the degree of local exposure or vulnerability to hazardous conditions. This knowledge is essential for policy decisions which translate warning information into effective preventive action.

Several groups must contribute to this empowerment. Each has a set of essential overlapping functions for which it should be responsible:

Members of vulnerable populations should be aware of the hazards and the related effects to which they are exposed and be able to take specific actions themselves which will minimize their personal threat of loss or damage;

Local communities should have sufficient familiarity with hazards to which they are exposed, and the understanding of advisory information received, to be able to act in a manner to advise, instruct or engage the population in a manner that increases their safety or reduces the possible loss of resources on which the community depends;

National governments should exercise the sovereign responsibility to prepare and issue hazard warnings for their national territory in a timely and effective manner, and to ensure that warnings and related protective guidance are directed to those populations determined to be most vulnerable to the hazard risk. The provision of support to local communities to utilize information and to develop operational capabilities is an essential function to translate early-warning knowledge into risk reduction practices.

Regional institutions should provide specialized knowledge, advice or benefit of experience in support of national efforts to develop or to sustain operational capabilities related to hazard risks experienced by countries which share a common geographical environment. Regional organizations are crucial to linking macro-scale international capabilities to the particular needs of individual countries and in facilitating effective early warning practices among adjacent countries; and

International bodies should provide means for the shared exchange of data and relevant knowledge among themselves as a basis for the efficient transfer of advisory information and the technical, material and organizational support necessary to ensure the development and operational capabilities of national authorities or agencies officially designated as responsible for early warning practice.

## Principles for the Application of Early Warning at National and Local Levels

1. Early warning practices need to be a coherent set of linked operational responsibilities established at national and local levels of public administration and authority. To be effective, these early warning *systems* should themselves be components of a broader program of national hazard mitigation and vulnerability reduction.
2. Within each country, the sole responsibility for the issuance of early warnings for natural and similar disasters should rest with an agency, or agencies, designated by the Government.
3. The decision to act upon receipt of warning information is political in character. Authoritative decision-makers should be identified and have locally-recognized political responsibility for their decisions. Normally, action resulting from warnings should be based on previously-established disaster management procedures of organizations at national and local level.
4. In the chain of political responsibility, initial hazard information, is often technically specialized or specific to a single type of hazard authority. To be applied effectively, warnings need to be clearly understood and operationally relevant to local agencies which are more frequently oriented toward non-specific hazard functions.
5. Early warning systems must be based upon risk analysis which includes the assessment of the occurrence of hazards, the nature of their effects and prevailing types of vulnerability, at national and local levels of responsibility. The warning process must lead to demonstrated practices that can communicate warning and advisory information to vulnerable groups of people so that they may take appropriate actions to mitigating loss and damage.
6. Locally predominant hazard types and patterns, including small-scale or localized hydrometeorological hazards related to patterns of human economic or environmental exploitation, must be incorporated if early warning is to be relevant to risk reduction practices.
7. There is a continuing need to monitor and forecast changes in vulnerability patterns, particularly at local levels, such as sudden increases in vulnerability resulting from social developments. These may include conditions of rapid urbanization, abrupt migration, economic changes, nearby civil conflict or similar elements which alter the social, economic or environmental conditions of an area.
8. The primary responsibilities must rest at local levels of involvement for producing detailed information on risks, acting on the basis of warnings, communicating warnings to those individuals at risk and, ultimately, for facilitating appropriate community actions to prevent loss and damage. A high resolution of local knowledge and developed experience of local risks, decision-making procedures, definitive authorities concerned, means of public communication and established coping strategies are essential for functions to be relevant.
9. Groups of people that exhibit different types of vulnerability will have different perceptions of risk and various coping strategies. Locally appropriate warning systems will provide a range of communication methods and should provoke multiple strategies for protection and risk reduction.
10. To be sustainable, all aspects of the design and implementation of early warning systems require the substantive involvement of *stakeholders* at the local and national levels. This includes production and verification of information about perceived risks, agreement on the decision-making processes involved, and standard operational protocols. Equally important abilities involve the selection of appropriate communication media and dissemination strategies which can assure an effective level of participation in acting upon receipt of warning information.

## **Principles for Early Warning Systems at International and Regional Levels**

1. In the interest of concerted international efforts to reduce the adverse effects of natural and similar disasters, the technologically advanced countries have an obligation to encourage and support improved early warning practices in developing countries, small island developing states, economies in transition, and other disaster-prone countries with special circumstances.
2. Primarily-affected countries equally have a primary responsibility to conduct a rigorous audit of the effectiveness, or consequential identification of needs, of their early warning capabilities. The conduct of post-mortem assessments of regional and national warning system capabilities are particularly relevant following any disaster event.
3. Specialized regional and global centres involved in the preparation and dissemination of warnings, such as the WMO Regional Specialized Meteorological Centres provide important links to national early warning systems. The application of their technical capabilities and the utility of their products should be carefully integrated with the needs of the countries being served, including any necessary clarification about the warning responsibilities between these centres and national agencies in the same region.
4. In the interest of protecting people from the risk of natural hazards, it is essential that the formulation and presentation of warnings be based on the best available technical and scientific knowledge, and free of political distortion or manipulation.
5. International bodies and regional organizations must work to maintain the vital importance of timely exchange and unrestricted access of observational data and other warning information between countries, particularly when hazardous conditions affect neighbouring countries.
6. Timely, accurate and reliable warnings should be understood in the context of commonly accepted international standards, nomenclature, protocols and reporting procedures. Established or internationally agreed means of communications should be employed for the international and regional dissemination of any warning information to specific authorities designated in each country.
7. Collaboration and coordination is essential between scientific institutions, early warning agencies, public authorities, the private sector, the media, and local community leaders to ensure that warnings are accurate, timely, meaningful and can result in appropriate action by an informed population.