

Appendix 8:

Checklist of Capacity Development for Disaster Risk Reduction Principles

√ Capacity Development Efforts are Guided by a Common Understanding

- There exists coherence between practitioners and programs, and acceptance of a common set of terms and concepts.
- Individuals and organizations working together in pursuit of CD for DRR have identified, agreeing upon, and adopted a common understanding and consistent use of terms and practices.

√ Efforts are Coherent Within and Between Levels (National, Sub-national, and Local)

- National-, sub-national, and local-level actors and processes are cognizant of programs and activities that are being planned and conducted in pursuit of CD for DRR all levels.

√ Efforts Pursue an “All-of-Society” Approach

- Programming efforts apply broadly across multiple stakeholders and consider how cross-sectoral combinations may result in synergistic movement towards common goals.
- The perspectives of both those with expertise or resources to provide CD and those who are vulnerable and affected by disasters have been considered.
- Programming seeks ways to improve stakeholders' capacity to interact with each other.

√ Efforts are Goal-Driven, Impact-Focused, and Transformative

- Programming identifies clear objectives and expected outcomes that can be judged to make a lasting impact on coherent implementation of national DRR plans and policy, including the Sendai Framework and the 2030 Agenda.
- Goals address both the capacities themselves and the impact of their existence.
- Stakeholders have considered both outcome- and output-level objectives in their planning.
- Goals and impacts pursue long-term positive transformation of DRR capabilities.

√ Efforts are Demand-driven and Needs-based

- Identification of local demands and needs is possible.
- CD programming aligns with what stakeholders and target audience members desire.
- Interventions are familiar to and preferred by the individuals and organizations for which change is sought.
- CD programming considers what is actually needed in light of existing capacities and ongoing programmes.
- Establishment of parallel structures has been avoided.
- Interventions are conducted with the knowledge of and in a manner that adapts to local conditions.

√ Efforts are Strategic and Sustainable

- Programming supports the strategic implementation of national and sub-regional policy and programming in a manner that promotes long-term sustainable results.
- CD interventions are embedded in strategy formulation and integrated systematically starting from the analysis of needs through implementation, operations, and monitoring and evaluation.
- Where integration of DRR, SD, and CCA has been achieved, CD programming conforms to those efforts, and speaks to those partners.
- Efforts balance short term gains with longer-term results that enable sustainable improvement of lives beyond the project or program timeframe.

√ Efforts are Nationally-Owned and Coordinated

- Programming is convened, organized or co-organized, funded or cost-shared, and directed by internal governmental or community institutions.
- Management control exists at the level that is most appropriate for the impacts sought.
- CD efforts are stakeholder-informed and, to the extent possible, managed, at every step in the CD cycle.
- Commitments occur organically and not from the outside.

√ Efforts are Value-Added

- CD programming adds value, avoids duplication and aims for coherent implementation.
- Value is measured in terms of sustainable capacity that is created and DRR achieved.

√ Efforts are Practical, Replicable, and Localized

- CD targets account for the motivations, resources, and capabilities of the stakeholders involved (both recipients and providers).
- CD programming considers whether interventions are understood and relevant in local languages and the local context.
- CD programming considers whether it is possible for governmental and other affiliated partners to replicate, adapt, and adopt the methods to meet their needs.
- Approaches aim to develop sustainable individual, organizational, and enabling environment capacity.

√ Efforts Foster Partnerships

- CD programming is conducted in a manner that enables the identification and engagement of appropriate and viable partners drawn from all appropriate sectors and levels.
- Methods and practices employed are based on partners' existing capabilities, identified needs, and organizational objectives, with the aim of enhancing in-country ownership and sustainability.
- Partners have a clear and significant role in not only program implementation but also design.

√ Efforts are Standard-Conformant or Standard-Setting

- Where standards exist, whether based on competencies or other measures, CD programming assesses needs accordingly and provide assistance in a manner that addresses gaps.
- Programming partners have identified or developed and applied quality standards for projects or interventions that enable the measurement of the quality of progress and results prior to implementation.

√ Efforts Employ a Mix of Activities across Multiple Levels and Timeframes

- CD efforts focus on multiple levels of capacity (individual, organizational, and enabling environment) and are appreciative of the interrelationships that exist between these levels.
- CD efforts address a range of timeframes and ensure a complementarity of actions that foster change.
- Planning has a strategic basis and employs a combination of complementary activities beyond the provision of training and education.
- Targeted activities enable engagement across the short, medium, and longer-term timeframes.
- Projects and programs are components of a single, coordinated process.

√ Efforts Strengthen Knowledge Frameworks

- CD programming provides opportunities to capture, assess, translate, transfer, and broker knowledge in order to foster knowledge innovation.